

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,769	07/31/2001	Stephen E.M. Billester	29094/12:1 USA

CONFIRMATION NO. 4011

3528
STOEL RIVES LLP
900 SW FIFTH AVENUE
SUITE 2600
PORTLAND, OR 97204

FORMALITIES LETTER



OC000000006523577

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

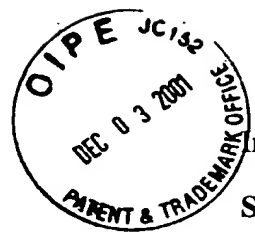
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/03/2001 AWONDAF1 00000054 09919769

01 FC:201
02 FC:205

370.00 OP
65.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Group Art Unit:

Stephen E.M. Billester

Application No. 09/919,769

Filed: July 31, 2001

Title: **METHOD FOR IMPROVING THE ACCURACY
OF CHARACTER RECOGNITION PROCESSES**

Date: October 31, 2001

TRANSMITTAL LETTER

TO THE COMMISSIONER
FOR PATENTS:

Enclosed for filing in the above-referenced application are the following:

- ☒ Notice to File Missing Parts - Filing Date Granted
- ☒ Combined Declaration and Power of Attorney (executed)
- ☒ Information Disclosure Statement
- ☒ PTO Form-1449, together with copies of cited references
- ☒ Fee Transmittal (in duplicate)
- ☒ Check for \$435.00 in payment of small entity basic filing and late filing surcharge fees

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the enclosed documents or to credit any overpayment to Deposit Account No. 19-4455. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Stephen E.M. Billester

By 

Christopher V. Panoff
Registration No. 46,456

STOEL RIVES LLP
900 SW Fifth Avenue, Suite 2600
Portland, Oregon 97204-1268
Telephone: (503) 224-3380
Facsimile: (503) 220-2480
Attorney Docket No. 29094/12:2

See for \$



Application No.: 09/919,769

Filed: July 31, 2001

Inventors: **Stephen E.M. Billester**

Title: **METHOD FOR IMPROVING THE ACCURACY
OF CHARACTER RECOGNITION PROCESSES**

Attorney Docket No.: 29094/12:2

CERTIFICATE OF FIRST CLASS MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN
AN ENVELOPE ADDRESSED TO: BOX MISSING
PARTS, COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231.

Date of Deposit: October 31, 2001

Kathy L. Skeels

Signature

Kathy L. Skeels

Typed or printed name of person signing
certificate

Attachments:

- ☒ Transmittal Letter (in duplicate)
- ☒ Copy of Notice to File Missing Parts of Non-Provisional Application
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, together with copies of cited references
- ☒ Fee Transmittal (in duplicate)
- ☒ Check for \$435.00 in payment of small entity basic filing fee and late filing surcharge fee



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 435.00

Complete if Known

Application Number	09/919,769
Filing Date	07/31/2001
First Named Inventor	Stephen E.M. Billester
Examiner Name	
Group Art Unit	
Attorney Docket No.	29094/12:2

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 19-4455
- Deposit Account Name: Steel Rives LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims: 6 -20** = 0 x 9.00 = 0.00

Independent Claims: 1 -3** = 0 x 42.00 = 0.00

Multiple Dependent: =

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

SUBMITTED BY

Name (Print/Type): Christopher V. Panoff

Signature: *Christopher V. Panoff*

Registration No. (Attorney/Agent): 46,456

Complete (if applicable)

Telephone: 503-224-3380

Date: 10/31/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.